

# 02-25-05 PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: **Mail**

**Mail Stop ISSUE FEE**  
**Commissioner for Patents**  
**P.O. Box 1450**  
**Alexandria, Virginia 22313-1450**  
**or Fax (703) 746-4000**

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

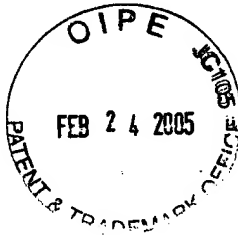
CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

7590 12/09/2004

Merchant & Gould  
 PO Box 2903  
 Minneapolis, MN 55402-0903

02/28/2005 BABRAHA2 00000150 09807865

01 FC:1501 1400.00 OP



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Exp. Mail

Certificate of Mailing or Transmission EV495868660US  
 I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for ~~XXXXXX~~ mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (703) 746-4000, on the date indicated below.

Dayid Ortiz (Depositor's name)  
 [Signature] (Signature)  
 February 24, 2005 (Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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09/807,865	04/19/2001	Kenichi Nishiuchi	10873.704USW	6282
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TITLE OF INVENTION: OPTICAL INFORMATION RECORDING MEDIUM, AND METHOD AND APPARATUS FOR RECORDING AND REPRODUCTION

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
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nonprovisional	NO	\$0	\$0	\$0	03/09/2005
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EXAMINER	ART UNIT	CLASS-SUBCLASS	Adjustment date: 02/28/2005 BABRAHA2
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HUBER, PAUL W	2653	369-053120	11/01/2004 ZIHARE 00000008 09807865 01 FC:1501 -1370.00 OP
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1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.563).  
☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.  
☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list  
 (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,  
 (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1. Merchant & Gould P.C.  
 2. \_\_\_\_\_  
 3. \_\_\_\_\_

## 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY AND STATE OR COUNTRY)

Matsushita Electric Industrial Co., Ltd.

Osaka, Japan

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are enclosed:

☐ Issue Fee  
☐ Publication Fee (No small entity discount permitted)  
☐ Advance Order - # of Copies \_\_\_\_\_

4b. Payment of Fee(s):

☒ A check in the amount of the fee(s) is enclosed.  
☐ Payment by credit card. Form PTO-2038 is attached.  
☐ The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number \_\_\_\_\_ (enclose an extra copy of this form).

## 5. Change in Entity Status (from status indicated above)

☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above. NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

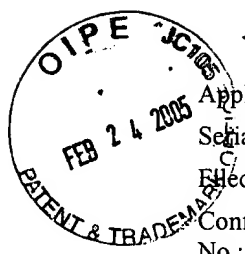
Authorized Signature \_\_\_\_\_  
 Typed or printed name Douglas P. Mueller

Date February 24, 2005

Registration No. 30,300

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: NISHIUCHI et al.  
Serial No.: 09/807,865  
Filed: April 19, 2001  
Confirmation No.: 6282

Examiner: Paul W. Huber  
Group Art Unit: 2653  
Docket: 10873.0704USWO  
Notice of Allow. Date: December 9, 2004

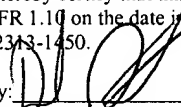
Due Date: March 9, 2005

Title: OPTICAL INFORMATION RECORDING MEDIUM, AND METHOD AND APPARATUS FOR RECORDING/REPRODUCTION

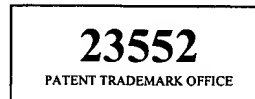
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"Express Mail" mailing label number: EV 495868660 US  
Date of Deposit: February 24, 2005

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By:   
Name: David Ortiz

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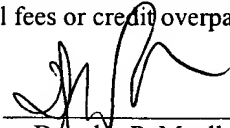
Commissioner:

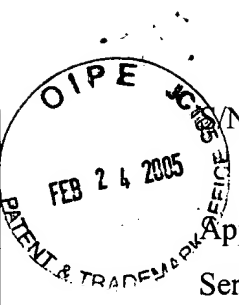
We are transmitting herewith the attached:

- ☒ Transmittal Sheet in duplicate containing Certificate of Mailing
- ☒ Issue Fee Transmittal Part B (PTOL - 85)
- ☒ A check in the amount of \$70.00 for the difference in current Issue Fee level
- ☒ Other: Communication Regarding Issue Fee Credit
- ☒ Return postcard

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers or any future reply, if appropriate. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725. A duplicate of this sheet is enclosed.

Merchant & Gould P.C.  
P.O. Box 2903  
Minneapolis, MN 55402-0903  
612.332.5300

By:   
Name: Douglas P. Mueller  
Reg. No.: 30,300  
DPM/sbd



N 09/807,865

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

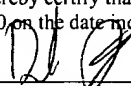
Applicant: NISHIUCHI et al. Examiner: Paul W. Huber  
Serial No.: 09/807,865 Group Art Unit: 2653  
Filed: April 19, 2001 Docket No.: 10873.0704USWO  
Title: OPTICAL INFORMATION RECORDING MEDIUM, AND METHOD  
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By:   
Name: David Ortiz

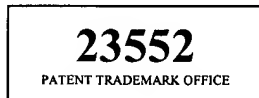
COMMUNICATION REGARDING ISSUE FEE CREDIT

Mail Stop Issue Fee  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Commissioner:


Applicants respectfully request that the Issue Fee, publication fee and patent copy fee paid on October 28, 2004 be applied to the current Issue Fee payment requirement. The credit occurred when the above-mentioned application was withdrawn from Issuance on November 12, 2004. Enclosed is our check in the amount of \$70.00 to cover the difference for the current Issue Fee level.

Respectfully submitted,



MERCHANT & GOULD P.C.  
P.O. Box 2903  
Minneapolis, Minnesota 55402-0903  
(612) 332-5300

Dated: February 24, 2005

By:   
Douglas P. Mueller  
Reg. No. 30,300

DPM/sbd